



5-2 902 REC'd PCT/PTO 18 MAY 2005 PCT

Docket No.: 62940(70904)  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Takaya Nakabayashi et al.

Application No.: 10/525,901

Confirmation No.: N/A

Filed: February 24, 2005

Art Unit: N/A

For: **PATTERN FORMATION SUBSTRATE  
AND METHOD FOR PATTERN  
FORMATION**

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed within three months of the U.S. filing date (37 CFR 1.97(b)(1)).

A summary/abstract translation of the non-English language references is enclosed.

A copy of each foreign reference on the PTO/SB/08 is attached.

A concise explanation of relevance of the items BA and BB listed on form PTO/SB/08 is given.

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The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1105, under Order No. 62940(70904). A duplicate copy of this paper is enclosed.

Dated: May 18, 2005

Respectfully submitted,

By 

George W. Neuner

Registration No.: 26,964

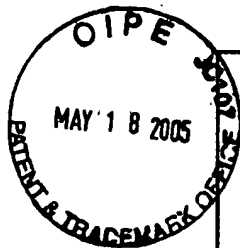
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Substitute for form 1449A/B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/525,901
Filing Date	February 24, 2005
First Named Inventor	Takaya Nakabayashi
Art Unit	N/A
Examiner Name	Not Yet Assigned
Attorney Docket Number	62940(70904)

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US 2003/0059686 A1	03-27-2003		
	AB	US 2002/0151161 A1	10/17/2002		

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	JP-11-274671	10-08-1999		See attached	
	BB	JP-63-200041	08-18-1988		See attached	
	BC	WO 2004/023540 A1	03-18-2004			
	BD	WO 2004/021447 A1	03-11-2004			
	BE	WO/2004/023561 A1	03-18-2004			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

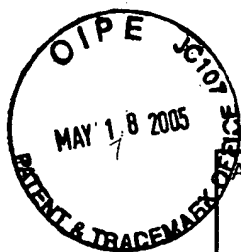
**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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Examiner Signature		Date Considered	
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Application No. (if known): 10/525,901

Attorney Docket No.: 62940(70904)

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IDS (Citation) by Applicant (7 References)  
Information Disclosure Statement (2 pages)